

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231,

on March 12, 2003

Diane D. Dunn

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# And Another Docket No. 0194.310US ### And Another Research Resea

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

S. Christopher Davis, et al.

Application No.: 10/082,780

Filed: February 22, 2002

For: SCREENING FOR ENZYME STEREOSELECTIVITY UTILIZING

MASS SPECTROMETRY

Examiner: Unassigned

Art Unit: Unassigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

PCT publication WO 00/23577 is in German, with an English abstract. Based on the title and abstract, the publication appears to relate to a method for producing chiral carboxylic acids from nitriles.

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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this Statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0990. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Sharon M. Fujita

Attorney for Applicant

Reg. No. 38,459

March // , 2003

MAXYGEN, INC. 515 Galveston Drive Redwood City, California 94063 (650) 298-5421 (Telephone) (650) 298-5446 (Facsimile) Customer Number 30560

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Sugartute for form 1449A-B/PTO

Complete if Known

Application Number 10/082,780

Filing Date February 22, 2002

First Named Inventor D. Christopher Davis, et al.

Group Art Unit Unassigned

Examiner Name Unassigned

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,	
		Number	Kind Code (if known)	Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal	
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Attorney Docket Number

				FOREIGI	N PATENT DOCUMEN	TS		
		Foreign Patent Document				Date of Publication	Pages, Columns, Lines,	
Examiner Initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	L
	9	PCT	WO 86/07386 ~	A1	Novo A/S	12-18-1986		
	10	PCT	WO 00/23577 _	A1	BASF	04-27-2000		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	,			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s).				
-	11	JAEGER et al., Current Opinion in Chemical Biology (2000) 4:68-73				
	12	KOBAYASHI, M., et al., Biochemistry (1992) 31:9000-9007				
	13	KOBAYASHI, M., et al., PNAS(USA) (1993) 90:247-251				
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Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.